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# NOTICE OF ALLOWANCE AND FEE(S) DUE

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11/10/2010

NELSON MULLINS RILEY & SCARBOROUGH LLP FLOOR 30, SUITE 3000 ONE POST OFFICE SQUARE BOSTON, MA 02109 EXAMINER

BORGEEST, CHRISTINA M

ART UNIT PAPER NUMBER

1649

DATE MAILED: 11/10/2010

|   | APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|-------------|----------------------|---------------------|------------------|
| Ī | 10/813,324      | 03/29/2004  | Heidi A. Tissenbaum  | UMY-035RCE          | 5837             |

TITLE OF INVENTION: NEUROTRANSMITTER SIGNALING CAN REGULATE LIFE SPAN IN C. ELEGANS

| L | APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|---|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
|   | nonprovisional | YES          | \$755         | \$300               | \$0                  | \$1055           | 02/10/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 959 7590 11/10/2010 Certificate of Mailing or Transmission NELSON MULLINS RILEY & SCARBOROUGH LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. FLOOR 30, SUITE 3000 ONE POST OFFICE SQUARE **BOSTON, MA 02109** (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/813,324 03/29/2004 Heidi A. Tissenbaum UMY-035RCE 5837 TITLE OF INVENTION: NEUROTRANSMITTER SIGNALING CAN REGULATE LIFE SPAN IN C. ELEGANS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional YES \$755 \$300 \$0 \$1055 02/10/2011 **EXAMINER** ART UNIT CLASS-SUBCLASS BORGEEST, CHRISTINA M 1649 435-007100 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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| 10/813,324           | 03/29/2004          | Heidi A. Tissenbaum      | UMY-035RCE          | 5837             |  |
| 959 75               | 959 7590 11/10/2010 |                          | EXAMINER            |                  |  |
| NELSON MULL          | INS RILEY & SCA     | BORGEEST, CHRISTINA M    |                     |                  |  |
| FLOOR 30, SUITE 3000 |                     |                          | ART UNIT            | PAPER NUMBER     |  |
| ONE POST OFFICE      | -                   | 1649                     |                     |                  |  |
| BOSTON, MA 02        | 109                 | DATE MAIL ED: 11/10/2010 |                     |                  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 24 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 24 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|   | Application No.   | Applicant(s)  |     |  |  |  |
|---|---|---|-----|--|--|--|
|   | 10/813,324  | TISSENBAUM ET AL.   |     |  |  |  |
| Notice of Allowability  | Examiner  | Art Unit  |     |  |  |  |
|   | Christina Borgeest  | 1649  |     |  |  |  |
| The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1.   This communication is responsive to After Final amendment | (OR REMAINS) CLOSED in<br>or other appropriate common<br>GHTS. This application is seand MPEP 1308. | n this application. If not included unication will be mailed in due course. I |     |  |  |  |
| <u> </u>  | _   |   |     |  |  |  |
| 2. The allowed claim(s) is/are <u>1,14,15,17-21,23-26,34-37,41-</u>   | <u>44 and 57</u> .  |   |     |  |  |  |
| <ul> <li>3.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> </ul>   | been received.  |   |     |  |  |  |
| 3. ☐ Copies of the certified copies of the priority do  | • •   |   | the |  |  |  |
| International Bureau (PCT Rule 17.2(a)).  |   |   |     |  |  |  |
| * Certified copies not received:  |   |   |     |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  4.   A SUBSTITUTE OATH OR DECLARATION must be subm   | ENT of this application.  |   |     |  |  |  |
| INFORMAL PATENT APPLICATION (PTO-152) which give  |   |   | ) i |  |  |  |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mus  |   |   |     |  |  |  |
| (a) I including changes required by the Notice of Draftsperson's Patent Drawing Review ( PTO-948) attached  |   |   |     |  |  |  |
| · — · · — · — — — — — — — — — — — — — —   | 1) hereto or 2) to Paper No./Mail Date  |   |     |  |  |  |
| (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of<br>Paper No./Mail Date   |   |   |     |  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the  |   |   |     |  |  |  |
| DEPOSIT OF and/or INFORMATION about the depo-<br>attached Examiner's comment regarding REQUIREMENT  |   |   |     |  |  |  |
|   |   |   |     |  |  |  |
| Attachment(s)   | 5   Notice of In  | formal Datant Application   |     |  |  |  |
| <ol> <li>Notice of References Cited (PTO-892)</li> <li>D Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>   |   | formal Patent Application<br>ummary (PTO-413),                                |     |  |  |  |
| <ol> <li>Information Disclosure Statements (PTO/SB/08),</li> </ol>  | Paper No.   | Mail Date Amendment/Comment   |     |  |  |  |
| Paper No./Mail Date  4. Examiner's Comment Regarding Requirement for Deposit  |   | Statement of Reasons for Allowance  |     |  |  |  |
| of Biological Material  | 9.  ☐ Other   |   |     |  |  |  |
|   |   |   |     |  |  |  |
|   | 1   |   |     |  |  |  |

## **EXAMINER'S AMENDMENT**

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Cynthia Kanik on 2 November 2010.

Please amend the claims as follows:

Claim 21. The method of any one of claims 1, 2, 14 and 15, wherein the <del>organism is a nematode</del> is *C. elegans*.

Claim 24. A method for identifying an agent capable of extending the mature life phase of a nematode, comprising:

contacting a cell <u>from said nematode</u> with a test agent, said cell having a cholinergic pathway;

assaying for the ability of the test agent to inhibit the cholinergic pathway by monitoring the effect of the test agent on one or more of the expression, intracellular level, extracellular level, activity, post-translational modification, interaction or cellular localization of an indicator of said cholinergic pathway, wherein the indicator of said

cholinergic pathway is selected from the group consisting of EGL-8, RIC-8 and RIC-4; and

selecting an agent that inhibits the cholinergic pathway;

to thereby identify an agent capable of extending the mature life phase of a nematode.

Claim 25. A method for identifying an agent capable of extending the mature life phase of a nematode, comprising:

contacting a cell <u>from said nematode</u> with a test agent, said cell having a cholinergic pathway and an insulin signaling pathway;

assaying for the ability of the test agent to inhibit the cholinergic pathway and insulin signaling pathway by:

- (i) monitoring the effect of the test agent on one or more of the expression, intracellular level, extracellular level, activity, post-translational modification, interaction or cellular localization of an indicator of said cholinergic pathway, wherein the indicator of said cholinergic pathway is selected from the group consisting EGL-8, RIC-8-and RIC-4;
- (ii) and monitoring the effect of the test agent on one or more of the expression, intracellular level, extracellular level, activity, post-translational modification, interaction, cellular localization, DAF-2; and

selecting an agent that inhibits the cholinergic pathway and DAF-2; to thereby identify an agent capable of extending the mature life phase of a nematode.

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Art Unit: 1649

Claim 26. A method for identifying an agent capable of extending the mature life phase

of a nematode, comprising:

contacting a cell population from said nematode with a test agent, said

population comprising a cell having a cholinergic pathway and a cell having an insulin

signaling pathway; assaying for the ability of the test agent to inhibit the cholinergic

pathway and insulin signaling pathway by:

(i) monitoring the effect of the test agent on one or more of the expression,

intracellular level, extracellular level, activity, post-translational modification, interaction

or cellular localization of an indicator of said cholinergic pathway, wherein the indicator

of said cholinergic pathway is selected from the group consisting EGL-8, RIC-8 and

RIC-4;

(ii) and monitoring the effect of the test agent on one or more of the expression,

intracellular level, extracellular level, activity, post-translational modification, interaction,

cellular localization DAF-2; and

selecting an agent that inhibits the cholinergic pathway and DAF-2;

to thereby identify an agent capable of extending the mature life phase a

nematode.

Please cancel claim 40.

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Art Unit: 1649

### Conclusion

The amendments were made to clarify the language of the claims.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christina Borgeest whose telephone number is (571)272-4482. The examiner can normally be reached on 9:00am - 3:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey Stucker can be reached on 571-272-0911. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Christina Borgeest

/Bridget E Bunner/ Primary Examiner, Art Unit 1647